

## Exercise 9.

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Let X, Y be random variables with support  $\mathcal{X} = \{x_1, \ldots, x_m\}$  and  $\mathcal{Y} = \{y_1, \ldots, y_d\}$ . Assume that X, Y are distributed by  $P(X = x_i) = p_i$  and  $P(Y = y_j) = q_j$ .

Let (X, Y) be the corresponding two-dimensional random variable with distribution  $P(X = x_i, Y = y_j) = p_{ij}$ .

Prove the following statements from Theorem 4.3:

- (a)  $0 \le H(X)$  with equality if and only if  $P(X = x_i) = 1$  for some *i*.
- (b)  $H(X) \leq \log m$  with equality if and only if  $P(X = x_i) = \frac{1}{m}$  for all *i*.
- (c)  $H(X | Y) \leq H(X)$  with equality if and only if X and Y are stochastically independent (conditioning reduces entropy).
- (d)  $H(X,Y) = H(X) + H(Y \mid X)$  (chain rule of entropies).
- (e)  $H(X,Y) \leq H(X) + H(Y)$  with equality iff X and Y are stochastically independent.

Hint (a):  $\ln z \le z - 1$  for all z > 0 with equality if and only if z = 1. Hint (b),(c): If f is a convex function, the Jensen inequality  $f(E(X)) \le E(f(X))$  holds.

**Exercise 10.** Let  $(\mathcal{M}, \mathcal{K}, \mathcal{C}, e, d)$  be a cryptosystem. Suppose that  $P(\hat{M} = M) > 0$  for all  $M \in \mathcal{M}, P(\hat{K} = K) > 0$  for all  $K \in \mathcal{K}$  and  $|\mathcal{M}| = |\mathcal{K}| = |\mathcal{C}|$  holds. Show that if  $(\mathcal{M}, \mathcal{K}, \mathcal{C}, e, d)$  has perfect secrecy, then

$$P(\hat{K} = K) = \frac{1}{|\mathcal{K}|}$$
 for all  $K \in \mathcal{K}$ 

and for all  $M \in \mathcal{M}, C \in \mathcal{C}$ , there is a unique  $K \in \mathcal{K}$  such that e(M, K) = C.

**Exercise 11.** Let  $\mathcal{M} = \{a, b\}$  be the message space,  $\mathcal{K} = \{K_1, K_2, K_3\}$  the key space and  $\mathcal{C} = \{1, 2, 3, 4\}$  the ciphertext space. Let  $\hat{M}, \hat{K}$  be stochastically independent random variables with support  $\mathcal{M}$  and  $\mathcal{K}$ , respectively, and with probability distributions:

$$P(\hat{M} = a) = \frac{1}{4}, \ P(\hat{M} = b) = \frac{3}{4}, \ P(\hat{K} = K_1) = \frac{1}{2}, \ P(\hat{K} = K_2) = \frac{1}{4}, \ P(\hat{K} = K_3) = \frac{1}{4}.$$

The following table explains the encryption rules:

- (a) Compute the entropies  $H(\hat{M}), H(\hat{K}), H(\hat{C})$  and the key equivocation  $H(\hat{K} \mid \hat{C})$ .
- (b) Why does this cryptosystem not have perfect secrecy?